SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b)			Filed pursuant to Section 16(a) of the Securities Exchange Act of 193	4		nours per res	polise. 0.c			
			or Section 30(h) of the Investment Company Act of 1940							
1. Name and Addr TYAGI ASH	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol <u>Y-mAbs Therapeutics, Inc.</u> [YMAB]		ationship of Rep < all applicable) Director	,				
(Last) C/O Y-MABS		, in the second s	3. Date of Earliest Transaction (Month/Day/Year) 06/12/2019	action (Month/Day/Year) below) below)	Other (specify					
230 PARK AVE, SUITE 3350			4. If Amendment, Date of Original Filed (Month/Day/Year)							
(Street) NEW YORK	NY	10169		X	Form filed by Form filed by Person	, ,	rting Person One Reporting			
(City)	(State)	(Zip)								
		Table I - Non-I	Derivative Securities Acquired, Disposed of, or Ben	eficially	Owned					

able 1 - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

L. Title of Security (Instr. 3)	Date (Month/Day/Year)	if any	Transaction Code (Instr.		Disposed Of (D) (Instr. 3, 4 and			Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	ive ies ed ed nstr.	6. Date Exerci Expiration Dat (Month/Day/Ye	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non- Employee Stock Option (right to buy) ⁽¹⁾	\$21.45	06/12/2019		A		16,000		07/12/2019 ⁽²⁾	06/12/2029	Common Stock	16,000	\$0	16,000	D	

Explanation of Responses:

1. Stock options granted to the Reporting Person as a non-employee director pursuant to the 2018 Equity Incentive Plan of Y-mAbs Therapeutics, Inc.

2. The stock options vest in equal monthly installments during the first year following the date of grant, subject to the Reporting Person's continued service on the board of directors of Y-mAbs Therapeutics, Inc., and are exercisable immediately upon vesting.



** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<u>06/14/2019</u>

Date