SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Ber Gerard	2. Date of Event Requiring Stater (Month/Day/Yea 12/11/2018	ment	3. Issuer Name and Ticker or Trading Symbol <u>Y-mAbs Therapeutics, Inc.</u> [YMAB]					
(Last) (First) (Middle) C/O Y-MABS THERAPEUTICS, INC.			4. Relationship of Reporting Pers (Check all applicable) X Director			5. If Amendment, Date of Original Filed (Month/Day/Year)		
230 PARK AVE, 33RD FLOOR			Officer (give title below)	Other (spe below)		idividual or Joint licable Line)	/Group Filing (Check	
(Street) NEW YORK NY 10169					>	-	y One Reporting Person y More than One erson	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)			lature of Indirect Beneficial Ownership tr. 5)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4		4. Conversion or Exercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
	Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Non-Employee Stock Option (right to buy)	(1)	12/11/2028	B Common Stock	32,000	21.97	D		

Explanation of Responses:

1. 1/36th of the shares of the option to vest in equal monthly installments starting December 11, 2018 until such time as the option is 100% vested, subject to the Reporting Person continuing to be a service provider on each vesting date.

<u>/s/ Gerard Ber</u>

** Signature of Reporting Person

12/19/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.