SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* GILL DAVID N	2. Date of Event Requiring Stater (Month/Day/Yea 09/20/2018	ment	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Y-mAbs Therapeutics, Inc.</u> [ YMAB ]						
(Last) (First) (Middle) C/O Y-MABS THERAPEUTICS, INC.			4. Relationship of Repo (Check all applicable) X Director			5. If Amendment, Date of Original Filed (Month/Day/Year)			
230 PARK AVE, 33RD FLOOR			Officer (give title below)		Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) NEW YORK NY 10169								y One Reporting Person y More than One erson	
(City) (State) (Zip)									
Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)				4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securiti Underlying Derivative Security			4. Conversio or Exercise	Form:	(Instr. 5)	
	Date Exercisable	Expiratior Date	n Title		Amount or Number of Shares	Price of Derivative Security	Direct (D) or Indirect (I) (Instr. 5)		
Non-Employee Stock Option (right to buy)	(1)	04/24/2028	3 Common S	tock	32,000	11.16	D		

Explanation of Responses:

1. 1/36th of the shares of the option to vest in equal monthly installments starting April 24, 2018 until such time as the option is 100% vested, subject to the Reporting Person continuing to be a service provider on each vesting date.

## /s/ David N. Gill

\*\* Signature of Reporting Person

09/20/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.